



GP/21672  
PATENT  
03-19-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Britton et al.

Serial No.: 10/044,537

Filed: January 11, 2002

For: A SYSTEM AND METHOD FOR ALTERNATIVE  
TELECOMMUNICATIONS/INTERNET  
TRANSACTIONS

Examiner: Unknown

Attorney Docket: 11338.3

Art Unit  
2167

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MAR 15 2002

Technology Center 2100

TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
Washington, D. C. 20231

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 28<sup>th</sup> day of February, 2002.

NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 10 references.



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Britton et al. )  
Serial No.: 10/044,537 ) Art Unit  
Filed: January 11, 2002 ) 2167  
For: A SYSTEM AND METHOD FOR ALTERNATIVE )  
TELECOMMUNICATIONS/INTERNET )  
TRANSACTIONS )  
Examiner: Unknown )  
Attorney Docket: 11338.3 )

INFORMATION DISCLOSURE STATEMENT

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Hon. Commissioner for Patents  
Washington, D. C. 20231

The following sections are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449 (Modified)
3.     Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. X Copies of Listed Information Items Accompanying This Statement.
5.     Concise Explanation of Non-English Language Listed Information Items.
6.     Translation(s) of Non-English Language Documents.
7.     Certification Under 37 C.F.R. §1.97.(e).
8.     Fee as required under 37 C.F.R §1.17(p).
9.     Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. X Identification of Person(s) Making This Information Disclosure Statement.

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office

List Submitted in Accordance  
with 37 CFR § 1.98 (a)(1)

Attorney Docket: 11338.3  
Serial No.: 10/044,537  
Applicant: Britton et al.  
Filing Date: January 11, 2002  
Group No.: 2167

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U.S. Patent Documents

<u>Examiner Initial*</u>		<u>Patent Number</u>	<u>Issue Date</u>	<u>Patentee</u>
—	AA	4,809,257	02/28/89	Gantenbein et al.
—	AB	5,416,627	05/16/95	Wilmoth
—	AC	5,526,161	06/11/96	Suzuki et al.
—	AD	5,537,585	07/16/96	Blickenstaff et al.
—	AE	5,727,129	03/10/98	Barrett et al.
—	AF	5,761,663	06/02/98	Lagarde et al.
—	AG	5,768,528	06/16/98	Stumm
—	AH	5,832,522	11/03/98	Blickenstaff et al.
—	AI	5,850,442	12/15/98	Muftic
—	AJ	5,960,411	09/28/99	Hartman et al.

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Examiner:

Date Considered:

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\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**Section 4. Copies of Listed Information Items Accompanying This Statement.**

Legible copies of all items listed below accompany this information statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
4,809,257	02/28/89	Gantenbein et al.	USA
5,416,627	05/16/95	Wilmoth	USA
5,526,161	06/11/96	Suzuki et al.	USA
5,537,585	07/16/96	Blickenstaff et al.	USA
5,727,129	03/10/98	Barrett et al.	USA
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5,768,528	06/16/98	Stumm	USA
5,832,522	11/03/98	Blickenstaff et al.	USA
5,850,442	12/15/98	Muftic	USA
5,960,411	09/28/99	Hartman et al.	USA

**Section 10. Identification of Person(s) Making this Information Disclosure Statement.**

The person making this statement is

(a) \_\_\_\_\_ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

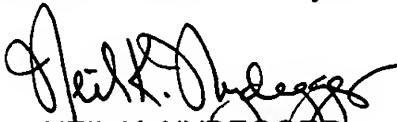
\_\_\_\_\_  
Name of Inventor

(b)   X   the attorney who signs below on the basis of:

  X   the information supplied by the inventor(s)

\_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

  X   the information in the attorney's file

  
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Examiner: Unknown )  
Attorney Docket: 11338.3 )

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 26th day of February, 2002.

  
TARYL L. ANDERSEN  
Administrator

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**MAR 15 2002**

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